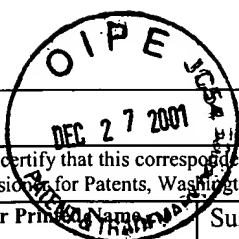


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Typed or Printed Name: Susan Alessi

Signature

Susan M. Alessi

Date

12-21-2001

INFORMATION DISCLOSURE STATEMENT

Address to:
Commissioner for Patents
Washington, D.C. 20231

Attorney Docket

CELL006CIP

First Named Inventor

A. Little

Application Number

09/509,591

Filing Date

June 2, 2000

Group Art Unit

1632

Examiner Name

P. Brunovskis

Title ADENOVIRUS VECTORS SPECIFIC FOR CELLS
EXPRESSING ALPHA-FETOPROTEIN AND METHODS OF
USE THEREOF

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or *after* the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-1449 listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

☒ All of the references identified herein are being submitted prior to the final determination on patentability by the Office.

☐ All of the references identified herein were cited in an International (PCT) Search Report dated *, in a PCT application corresponding to the above-identified U.S. application. A copy of the Search Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.

☒ All of the references identified herein were disclosed in parent application Serial No. PCT/US98/04084 which claims the benefit of priority to U.S. Serial No. 09/033,428 and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except as noted below.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

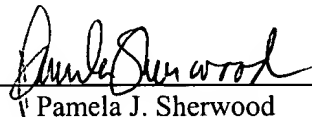
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____ The appropriate certification under 37 C.F.R. §1.97(e) accompanies this paper.

X Please charge the \$180.00 fee required by 37 C.F.R. §1.97(c) and set forth in 37 C.F.R. 1.17(p) and any additional fees which may be required by this paper or any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: 12-21-2001

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